



RECEIPT
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: James W. Klett, et al. **DOCKET NO.:** 0445.3
SERIAL NO.: 09/489,640 **ART UNIT:** 1771
FILED: January 24, 2000 **EXAMINER:**
TITLE: Pitch-Based Carbon Foam Heat Sink With Phase Change Material

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner of Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

Attached hereto is a copy of the official filing receipt received from the USPTO in the above identified patent application. There is an error with respect to inconsistent data with PTO records. The Continuing Data as Claimed by Applicant should read:

THIS APPLICATION IS A CON OF 09/093,406 06/08/1998 PAT 6,037,032
WHICH IS A CIP OF 08/921,875 09/02/1997 PAT 6,033,506
AND A CIP OF 08/923,877 09/02/1997 ABN
AND A CIP OF 09/337,027 06/25/1999
WHICH IS A CON OF 08/921,875 09/02/1997 PAT 6,033,506
AND A CIP OF 09/136,596 08/19/1998
WHICH IS A DIV OF 08/921,875 09/02/1997 PAT 6,033,506

Applicant respectfully requests the issuance of a corrected filing receipt.

Respectfully Submitted,

Vincent A. Branton, *Attorney for Applicants*
Reg. No. 39,120
UT-Battelle, LLC
P.O. Box 2008
Oak Ridge, TN 37831-6498
(865) 574-2222

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first-class mail in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231 on

May 5, 2000

RECEIVED
MAY 30 2000
TC 1700 MAIL ROOM



0445.3

FILING RECEIPT



OC000000005020927

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/489,640	01/24/2000	1771	1854	6321-157	13	76	5

J A Marasco
Lockheed Martin Energy Research Corporation
P O Box 2009
Oak Ridge, TN 37831-8243

Date Mailed: 03/31/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

James W Klett, Knoxville, TN ;
Timothy D Burchell, Oak Ridge, TN ;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/093,406 06/08/1998 PAT 6,037,032
WHICH IS A CIP OF 08/921,875 09/02/1997 PAT 6,033,506
AND A CIP OF 08/923,877 09/02/1997 ABN
AND A CIP OF 09/337,027 06/25/1999

WHICH IS A DIV OF 08/921,875 09/02/1997 PAT 6,033,506 *

(*) Data inconsistent with PTO records.

AND A CIP OF 09/136,596 08/19/1998

WHICH IS A DIV OF 08/921,875 09/02/1997 PAT 6,033,506

Foreign Applications

If Required, Foreign Filing License Granted 03/30/2000

**

Title

Pitch-Based carbon foam heat sink with phase change material

Preliminary Class

428

RECEIVED
MAR 30 2000
MAIL ROOM

Data Entry by : JACKSON, MINNIE

T am : OIPE

Date: 03/31/2000



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

**Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231**

RECEIVED
JAN 30 2003
FOUND ON THE
FILING RECEIPT
MAIL ROOM